

06-25-01

A

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2282p113

(maximum 12 characters)

First Named Inventor Vladimir V. Petunin Ph.D.Title: Spacers For Reducing Crosstalk and Maintaining ClearancesExpress Mail Label No. EI567359332US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.   X   **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.        **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.   X   **Specification (Total Pages   18  )**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.   X   **Drawings(s) (35 USC 113) (Total Sheets   9  )**
5.   X   **Oath or Declaration (Total Pages   5  )**
  - a.        Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.        **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c.   X   Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.        Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on
    - i.        CD-ROM or CD-R (2 copies), or
    - ii.        paper
  - c.        Statement verifying identity of above copies

Docket No.: 2282P113

Express Mail No.: EI567359332US

06/22/01

1c962 U.S. PTO

1c903 U.S. PTO

09/888173

06/22/01

9. ☐ **Assignment Papers (cover sheet & documents(s))**

10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

☐ b. Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449

☐ b. Copies of IDS Citations

13. ☐ **Preliminary Amendment**

14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17. ☐ Other:

Continuation Divisional Continuation-in-part (CIP)  
Of Prior Application No.: Examiner Group Art Unit

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

Customer Number or Bar Code Label  
or  
(Insert Customer No. or Attach Bar Code Label here)

Express Mail No.: EI567359332US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2001**

<b>TOTAL AMOUNT OF PAYMENT (\$)</b>	<b><u>790.00</u></b>
-------------------------------------	----------------------

**Complete if Known:**

Application No. Not Yet Assigned  
Filing Date Concurrently Herewith  
First Named Inventor Vladimir V. Petunin Ph.D.  
Group Art Unit Not Yet Assigned  
Examiner Name Not Yet Assigned  
Attorney Docket No. 2282P113

**METHOD OF PAYMENT** (check one)

1. [ X ] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 02-2666
- Deposit Account Name \_\_\_\_\_
- [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- [ ] Applicant claims small entity status. See 37 CFR 1.27

2.     X         Payment Enclosed:
- X
- \_\_\_\_\_ Check  
\_\_\_\_\_  
\_\_\_\_\_ Credit Card  
\_\_\_\_\_ Money Order  
\_\_\_\_\_ Other

## FEE CALCULATION

**1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>			
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	<u>Fee Description</u>	<u>Fee Paid</u>
101	710	201	355	Utility application filing fee	710.00
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

**SUBTOTAL (1)    \$ 710.00**

## 2. EXTRA CLAIM FEES

<b>2. <u>EXTRA CLAIM FEES</u></b>						
			<u>Extra Claims</u>		<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>18</u>	- 20** =	<u>0</u>	X		=
Independent Claims	<u>4</u>	- 3** =	<u>1</u>	X	<u>80.00</u>	= <u>80.00</u>
Multiple Dependent						=
**Or number previously paid, if greater; For Reissues, see below.						
<u>Large Entity</u>		<u>Small Entity</u>				
Fee	Fee	Fee	Fee			
Code	(\$)	Code	(\$)	<u>Fee Description</u>		
103	18	203	9	Claims in excess of 20		<u>0.00</u>
102	80	202	40	Independent claims in excess of 3		<u>80.00</u>
104	270	204	135	Multiple dependent claim, if not paid		<u>0.00</u>
109	80	209	40	**Reissue independent claims over original patent		<u>0.00</u>
110	18	210	9	**Reissue claims in excess of 20 and over original patent		<u>0.00</u>

**SUBTOTAL (2) \$ 80.00**

**FEE CALCULATION (continued)**

Docket No.: 2282P113  
Express Mail No.: EI567359332US

### 3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Libby W. Ho  
 Signature: [Signature] Date: June 22, 2001  
 Reg. Number: 46,774 Telephone Number: 303-740-1980

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-203 Express Mail mailing label number: E1567359 332 US

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Docket No.: 2282P113  
 Express Mail No.: E1567359332US

June 22, 2001  
 Date of Deposit  
Debbie Peloguin  
 Name of Person Mailing Correspondence  
Debbie Peloguin 6-22-01

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**First Named Inventor Vladimir V. Petunin, Ph.D.Title Spacers For Reducing Crosstalk and Maintaining ClearancesAttorney Docket No.: 2282P113

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 22, 2001

Date



Signature

Libby N. Ho

Typed or Printed Name

46, 774

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application.

**Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231